



**PUBLIC PROTECTION  
CABINET**

Department of Alcoholic Beverage Control

**Commonwealth of Kentucky  
Department of Alcoholic Beverage Control  
500 Mero St. 2NW WK#21  
Frankfort, KY 40601  
ABC.ky.gov**

**TOBACCO, NICOTINE OR VAPOR PRODUCT LICENSE BATCH APPLICATION**

1. Company Name: \_\_\_\_\_ SSN/FEIN: \_\_\_\_\_

2. Contact Person: First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

3. Contact Phone: \_\_\_\_\_ Email Address: \_\_\_\_\_

4. Is the applicant a corporation, limited partnership, limited liability company (LLC) or other legally recognized entity?

Yes  No

If yes, attach a copy of the applicant's Certificate of Existence or Certificate of Authority to do business in Kentucky.

If no, is the applicant a Sole Proprietor?

Yes  No

5. KY Sales & Use Tax Number: \_\_\_\_\_

6. KY Withholding Tax Number: \_\_\_\_\_

7. Does the applicant currently hold an active license, "In review" application, or has the applicant been licensed with KY ABC previously?

Yes  No

If yes, please provide License Number & Site ID. \_\_\_\_\_

8. Does the applicant own the premises to be licensed or have possession of it under a written agreement such as lease, deed or certification from the landlord or landowner that the premises to be licensed can be used for the sale of alcohol and/or tobacco, nicotine or vapor products at retail?

Yes  No

Please attach legal description of the boundaries of the premises (i.e. drawings, blueprints, a deed, or metes and bounds, etc.) as well as a copy of written agreement showing the applicant's ownership or possession.

9. DBA Name: \_\_\_\_\_

10. Address of Primary Site #1 to be Licensed:

Physical Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

11. Site #1 Contact Details:

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

12. Is the company the real estate owner?  Yes  No

13. Are you interested in applying for a tobacco, nicotine & vapor product license for multiple locations?

Yes  No

If yes, please identify each location below. Attach additional sheets if needed.

**Site #2 Contact Details:**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

**Site #3 Contact Details:**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

**Site #4 Contact Details:**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

**Site #5 Contact Details:**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

14. Company Ownership Details for each owner, please provide the following details:

**If publicly traded, please list officer(s) and their position(s). Please use additional paper if needed.**

Name & Home Address	Phone Number(s)	SS#	Title/Position	Citizenship	Age	% of ownership

Does the individual have or has the individual had any interest in any alcoholic beverage business or the premises of any alcohol beverage other than that for which you are herein applying? Yes                  No

Has the individual been convicted of any felony been released from felony custody or felony incarceration, been on felony parole, or had a termination of felony probation within the past five (5) years? Yes                  No

Has the individual been convicted of a misdemeanor directly or indirectly related to alcohol or a controlled substance within the past two (2) years? Yes                  No

Has there ever been a suspension, denial, or revocation of any Kentucky alcoholic beverage license held by the individual listed above? Yes                  No

Are there any pending proceedings against the individual or related licensee for a violation of any statute or regulation which may result in the suspension or revocation of license(s)? Yes                  No

Name & Home Address	Phone Number(s)	SS#	Title/Position	Citizenship	Age	% of ownership

Does the individual have or has the individual had any interest in any alcoholic beverage business or the premises of any alcohol beverage other than that for which you are herein applying?  Yes                   No

Has the individual been convicted of any felony been released from felony custody or felony incarceration, been on felony parole, or had a termination of felony probation within the past five (5) years?  Yes                   No

Has the individual been convicted of a misdemeanor directly or indirectly related to alcohol or a controlled substance within the past two (2) years?  Yes                   No

Has there ever been a suspension, denial, or revocation of any Kentucky alcoholic beverage license held by the individual listed above?  Yes                   No

Are there any pending proceedings against the individual or related licensee for a violation of any statute or regulation which may result in the suspension or revocation of license(s)?  Yes                   No

**Parent/Holding Company (if applicable). Circle type of holding company below.**

Individual

Company

Trust

Officer

Is the Company registered in Kentucky?

Yes

No

Company Name	% of ownership

Mailing Address:

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

Does the company have or has the company had any interest in any alcoholic beverage business or the premises of any alcoholic beverage other than that for which you are herein applying?  Yes  No

Has there ever been a suspension, denial, or revocation of any Kentucky alcoholic beverage license held by the company listed above?  Yes  No

Are there any pending proceedings against the company or related licensee for a violation of any statute or regulation which may result in the suspension or revocation of license(s)?  Yes  No

15. Are you a Special Agent or Solicitor? (Sales Representative for distiller, Rectifier, Winery or Wholesaler)  Yes  No

**Agent/Solicitor**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_ SSN/FEIN: \_\_\_\_\_

Mailing Address:

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

16. Are you applying for any of the following licenses below? Fill out sections below for all that are checked yes.

Transporter  Yes  No

Supplier  Yes  No

Manufacturer  Yes  No

**NEW TRANSPORTER**

Company Legal Name: \_\_\_\_\_ FEIN: \_\_\_\_\_

DOT Carrier Number: \_\_\_\_\_

Mailing Address:

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

**Company Contact**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

**NEW OUT-OF-STATE SUPPLIER/OUT OF STATE MANUFACTURER**

Company Legal Name: \_\_\_\_\_ FEIN: \_\_\_\_\_

**Mailing Address**

Street Address: \_\_\_\_\_ City: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ County: \_\_\_\_\_

**Company Contact**

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

17. Please select the type of retail license you are applying for. Circle the one that applies.

**Alcohol    Tobacco    Alcohol & Tobacco**

18. Is the site to be licensed located in Kentucky?  Yes  No

19. Has the applicant or any person listed above ever been licensed to produce, sell or otherwise traffic in alcoholic beverages?  Yes  No

20. Will tobacco products, alternative nicotine products or vapor products be sold at the premises to be licensed?  Yes  No

21. Are you purchasing or have you purchased this business?  Yes  No

22. Will gasoline or lubricating oil be sold or will motor vehicles be serviced or repaired at the premises to be licensed?  Yes  No

**23. License Type and Fees:**

<input type="checkbox"/>	Product Type	Product Details	Half Year Fee	1-Year Fee	2-Year Fee	Transition Fee
	Air Transporter License	Allows the transport of alcohol by air.	\$260	\$520	N/A	N/A
	Authorized Public Consumption License	Allows production, possession, and use of alcohol in fuel ethanol manufacturing.	\$125		N/A	N/A
	Bottling House or Bottling House Storage License	Allows bottling and storage of liquor at the licensed premises.	\$515	\$1,030	N/A	N/A
	Brewer's License	For businesses brewing beer or cider under 7.0% ABV producing at least 50,000 barrels annually.	\$1,290	\$2,580	N/A	N/A
	Caterer's License	Allows catering businesses to sell or serve alcohol with food at events.	\$415	\$830	N/A	N/A
	Direct Shipper Type A License	Allows transport of alcohol by in-state/out-of-state producers.		\$100	N/A	N/A
	Direct Shipper Type B License	Allows transport of cannabis-infused beverages by producers.		\$1,000	N/A	N/A
	Distilled Spirits and Wine Storage License	Allows storage of liquor and wine.	\$310	\$620	N/A	N/A
	Distiller's License – Class A	For businesses distilling at least 50,000 gallons annually.	\$1,545	\$3,090	\$6,180	N/A

	Distiller's License – Class B	For businesses distilling less than 50,000 gallons annually.	\$500	\$1,000	\$2,000	N/A
	Distributor's License	Allows purchase of beer from breweries and sale to retailers.	\$260	\$520	\$1,040	N/A
	In-State Distilled Spirits Supplier's License	For in-state suppliers shipping more than 50,000 gallons annually.	\$775	\$1,550	\$3,100	N/A
	Limited Golf Course License	Allows sale of beer, wine, and liquor by the drink at a golf course.	\$360	\$720		N/A
	Limited In-State Distilled Spirits Supplier's License	For in-state suppliers shipping less than 50,000 gallons annually.	\$130	\$260	\$520	N/A
	Limited Out-of-State Distilled Spirits and Wine Supplier's License	For out-of-state suppliers shipping less than 50,000 gallons annually.	\$130	\$260	\$520	N/A
	Limited Out-of-State Malt Beverage Supplier's License	For out-of-state suppliers shipping less than 25,000 barrels annually.	\$130	\$260	\$520	N/A
	Limited Restaurant License LR100	This license is for restaurants with a minimum of 70% food sales and a minimum seating capacity of 100 people at tables. It allows you to sell beer, wine, and liquor by the drink to your customers.	\$390	\$780		N/A
<input type="checkbox"/>	<b>Product Type</b>	<b>Product Details</b>	<b>Half Year Fee</b>	<b>1-Year Fee</b>	<b>2-Year Fee</b>	<b>Transition Fee</b>
	Limited Restaurant License LR50	This license is for restaurants with a minimum of 70% food sales and a minimum seating capacity of 50 people at tables. It allows you to sell beer, wine, and liquor by the drink to your customers. Food must be served with alcohol purchases	\$390	\$780	N/A	N/A
	Malt Beverage Storage License	Allows storage of malt beverages.	\$130	\$260	N/A	N/A
	Microbrewery License	For businesses brewing less than 50,000 barrels annually.	\$260	\$520	\$1,040	N/A
	NQ Retail Malt Beverage Package License	Allows sale of beer in packages for off-premises consumption.	\$105	\$210	N/A	N/A
	NQ1 Retail Drink License	Allows alcohol sales on airplanes, railways, racetracks, etc.	\$2,060	\$4,120	N/A	N/A
	NQ2 Retail Drink License	Allows alcohol sales at airports, hotels, restaurants, etc.	\$415	\$830	N/A	N/A
	NQ3 Retail Drink License	Allows alcohol sales at B&Bs, dining cars, or private clubs.	\$155	\$310	N/A	N/A
	NQ4 Retail Malt Beverage Drink License	Allows sale of beer by the drink at establishments.	\$105	\$210	N/A	N/A
	Off-Premises Retail Sales Outlet License	Allows sale of souvenir and complimentary distilled spirits and wine packages.	\$150	\$300	N/A	N/A

	Out-of-State Distilled Spirits and Wine Supplier's License	For out-of-state suppliers shipping more than 50,000 gallons annually.	\$775	\$1,550	\$3,100	N/A
	Out-of-State Malt Beverage Supplier's License	For out-of-state suppliers shipping more than 25,000 barrels annually.	\$775	\$1,550	\$3,100	N/A
	Qualified Historic Site License	Allows alcohol sales at qualifying historic sites.	\$515	\$1,030	N/A	N/A
	Quota Retail Drink License	Allows sale of wine and liquor by the drink.	\$310	\$620	N/A	N/A
	Quota Retail Package License	Allows sale of wine and liquor in packages for off-premises use.	\$285	\$570	N/A	N/A
	Rectifier's License – Class A	For businesses rectifying at least 50,000 gallons annually.	\$1,290	\$2,580	\$5,160	N/A
	Rectifier's License – Class B	For businesses rectifying less than 50,000 gallons annually.	\$412	\$825	\$1,650	N/A
	Small Farm Winery License	For businesses producing 250–100,000 gallons of wine annually.	\$55	\$110	\$220	N/A
	Small Farm Winery Off-Premises Retail License	Allows wineries to sell products at separate retail locations.	\$15	\$30	N/A	N/A
	Small Farm Winery Wholesaler's License	Allows purchase and resale of small farm winery products.	\$55	\$110	\$220	N/A
	Special Non-beverage Alcohol License	Authorizes purchase of alcohol for non-beverage purposes.	\$30	\$60		N/A
	<b>Product Type</b>	<b>Product Details</b>	<b>Half Year Fee</b>	<b>1-Year Fee</b>	<b>2-Year Fee</b>	<b>Transition Fee</b>
	Tobacco, Nicotine or Vapor Product License	This license allows a business to purchase tobacco, nicotine or vapor products from distributors and sell them at retail to consumers 21 years of age or older.	N/A	\$500	N/A	N/A
	Transitional Distilled Spirits and Wine License	Allows temporary operation during ownership transition (up to 90 days).	N/A	N/A	N/A	\$60
	Transitional Malt Beverage License	Allows temporary beer operations during ownership transition.	N/A	N/A	N/A	\$60
	Transporter's License	Allows transport of alcohol by truck, rail, etc.	\$105	\$210	N/A	N/A

**Entertainment Center Destination License: (Note, you can only select one qualifier)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	1-Year Fee	2-Year Fee
	<b>Entertainment Destination Center License - Local Government</b>	This license is for government operated Entertainment Destination Centers, which are major areas of tourism or economic activity, like downtown areas or Main Street. This license allows the intermingling of alcohol between the businesses in the area with proper licensure.	Local Government	\$1,288	\$2,577	N/A

	<b>Entertainment Destination Center License - All Others</b>	This license is for Entertainment Destination Centers, which are major areas of tourism or economic activity, like malls, downtowns, or food and drink districts. This license allows the intermingling of alcohol between the businesses in the area with proper licensure.	All Others	\$3,865	\$7,730	N/A
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**Limited Restaurant License: (Note, you can only select one qualifier)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	1-Year Fee	2-Year Fee
	<b>Supplemental Bar License</b>	This is an add-on license that allows you to sell wine and spirits from an additional bar at your establishment.		Price Varies based on qualifier license fee	Price Varies based on qualifier license fee	N/A

**Sampling License: (Note, you can only select one qualifier)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	Full Year Fee	2-Year Fee
	<b>Sampling License</b>	This is an add-on license that allows you to give and/or sell samples of wine and liquor to your customer		\$55	\$110	N/A

**Hotel In – Room License: (Note, you can only select Add Ons based on your Primary License Selection)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	Full Year Fee	2-Year Fee
	<b>Hotel In-Room License</b>	This is an add-on license that allows you to sell from a mini bar in hotel rooms		\$105	\$210	N/A

**Spec. Sunday Retail Drink License: (Note, you can only select Add Ons based on your Primary License Selection)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	Full Year Fee	2-Year Fee
	<b>Special Sunday Retail Drink License</b>	This is an add-on license that allows you to sell wine and liquor on Sundays.		\$260	\$520	N/A

**Lim. Non-Quota Package License: (Note, you can only select Add Ons based on your Primary License Selection)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	Full Year Fee	2-Year Fee
	<b>Limited Non-Quota Package License</b>	This license is an Add on license to buy and sell private selection Distilled Spirits and Wine and Vintage Distilled Spirits Packages		\$150	\$300	N/A

**Ext. Hours Supplemental License: (Note, you can only select Add Ons based on your Primary License Selection)**

<input type="checkbox"/>	Product Type	Product Details	Qualifier	Half Year Fee	Full Year Fee	2-Year Fee
	<b>Extended Hours</b>	This is an add-on license that allows you to sell for extended hours in the evening		\$1,030	\$2,060	N/A

	<b>Supplemental License</b>					
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**Affirmation**

I do hereby swear or affirm, under penalty of perjury, that I am authorized to submit this application on behalf of the named applicant and all the information and statements contained therein and any attachments are true and correct to the best of my knowledge, information and belief. I hereby swear or affirm that if the license is issued, the applicant shall abide by all state and local statutes, regulations and ordinances relating to manufacture, sale, use and trafficking in tobacco, nicotine or vapor products.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_